

12/3/02  
PATENT

Attorney Docket No. MTI-31532

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of : Weimer, Ronald A.  
Serial No. : 09/941,827  
Filing Date : August 29, 2001  
For : Method of Improved High K Dielectric-Polysilicon Interface for CMOS Devices  
Examiner : HUYNH, Yennhu B.  
Group Art Unit : 2813  
Confirmation No. : 7551

**CERTIFICATION UNDER 37 CFR 1.8(a) and 1.10**

I hereby certify that, on the date shown below, this correspondence is being:

**Mailing**

deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231  
**37 CFR 1.8(a)**      **37 CFR 1.10**  
 with sufficient postage as first class mail     As "Express Mail Post Office to Addressee" Mailing Label No. EV 48318230 US

**Transmission**

transmitted by facsimile to Fax No \_\_\_\_\_ addressed to Examiner \_\_\_\_\_ at the U.S. Patent and Trademark Office.  
Date: 12-3-02      Anton J. Otoy

Assistant Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL**

1. Transmitted herewith is:

- Response to Restriction and Preliminary Amendment
- Replacement Claims (26 sheets)
- Blacklined Claims (26 sheets)
- Supplemental Information Disclosure Statement
- Form 1449
- Copy of cited references
- Return Postcard

**STATUS**

2. Applicant is a large entity.

**EXTENSION OF TERM**

3. The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply.

[ X ] Applicant believes that no extension of term is required. However, if an extension of time is required, please consider this a petition therefor.

[ ] Applicant petitions for an extension of time under 37 C.F.R. 1.136 for the total number of months checked below [fees: 37 C.F.R. 1.17(a)(1)-(4)] :

| Extension<br><u>(months)</u> | Fee for other than<br><u>small entity</u> | Fee for<br><u>small entity</u> |
|------------------------------|---|--------------------------------|
| [ ] one month                | \$ 110.00                                 | \$ 55.00                       |
| [ ] two months               | \$ 390.00                                 | \$ 195.00                      |
| [ ] three months             | \$ 890.00                                 | \$ 445.00                      |
| [ ] four months              | \$ 1,390.00                               | \$ 695.00                      |
|                              |   | Fee: <u><b>\$0.00</b></u>      |

*If an additional extension of time is required, please consider this a petition therefor.*

**FEE FOR CLAIMS**

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

| Claims Remaining<br>After Amendment |            | Highest<br>No.<br>Previously<br>Paid For |           | Rate<br>(Small Entity) | Additional<br>Fee or | Rate<br>(Large Entity) | Additional<br>Fee |
|-------------------------------------|------------|--|-----------|------------------------|----------------------|------------------------|-------------------|
| Total                               | <b>134</b> | Minus                                    | <b>95</b> | = <b>x 9= \$</b>       | \$                   | <b>39 x 18</b>         | <b>\$702.00</b>   |
| Independent                         | <b>51</b>  | Minus                                    | <b>49</b> | = <b>x 42= \$</b>      | \$                   | <b>2 x 84</b>          | <b>\$168.00</b>   |

**FIRST PRESENTATION OF MULTIPLE DEP CLAIM**


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|                        |  |
|------------------------|--|
| TOTAL<br>ADDIT. Fee \$ | or    TOTAL<br>ADDIT. Fee <u><b>\$870.00</b></u> |
|------------------------|--|

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c. [ ] No additional fee for claims is required.  
 d. [X ] Total additional fee for claims required **\$870.00**

**FEE DEFICIENCY**

5. [ X ] If any additional extension and/or fee is required, charge Account No. 23-2053.  
 [ X ] If any additional fee for claims is required, charge Account No. 23-2053.

Date: December 3, 2002

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